

**FEE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

|                        |                          |
|------------------------|--------------------------|
| Application Number     | 10/006,852               |
| Filing Date            | November 7, 2001         |
| First Named Inventor   | Alan M. Kinnersly et al. |
| Group Art Unit         | 1638                     |
| Examiner Name          |                          |
| Attorney Docket Number | 7224-65                  |

Total Amount of Payment

(\$ 525)

**METHOD OF PAYMENT**1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit  
Account  
Number

23-3030

Deposit  
Account Name

Woodard, Emhardt, Naughton, Moriarty &amp; McNett

Charge any Additional Fee Required  
Under 37 CFR 1.16 and 1.17Applicant claims small entity status.  
See 37 CFR 1.27.2. ☒ Payment Enclosed:

Check



Credit Card



Money Order



Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid      |
|---------------------|-----------------|----------------|-----------------|------------------------|---------------|
| 101                 | 740             | 201            | 370             | Utility Filing Fee     |               |
| 106                 | 330             | 206            | 165             | Design Filing Fee      |               |
| 107                 | 510             | 207            | 255             | Plant Filing Fee       |               |
| 108                 | 740             | 208            | 370             | Reissue Filing Fee     |               |
| 114                 | 160             | 214            | 80              | Provisional Filing Fee |               |
| <b>SUBTOTAL (1)</b> |                 |                |                 |                        | <b>(\$ 0)</b> |

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee From Below | Fee Paid |
|--------------------|--------------|----------------|----------|
| -20** =            |              | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|---------------------|-----------------|----------------|-----------------|---|
| 103                 | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102                 | 84              | 202            | 42              | Independent claims in excess of 3                         |
| 104                 | 280             | 204            | 140             | Multiple dependent claim, if not paid                     |
| 109                 | 84              | 209            | 42              | **Reissue independent claims over original patent         |
| 110                 | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |                 |                |                 |   |

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 65       |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                            |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner                            |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 400             | 216            | 200             | Extension for reply within second month                                    |          |
| 117            | 920             | 217            | 460             | Extension for reply within third month                                     | 460      |
| 118            | 1,440           | 218            | 720             | Extension for reply within fourth month                                    |          |
| 128            | 1,960           | 228            | 980             | Extension for reply within fifth month                                     |          |
| 119            | 320             | 219            | 160             | Notice of Appeal   |          |
| 120            | 320             | 220            | 160             | Filing a brief in support of an appeal                                     |          |
| 121            | 280             | 221            | 140             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,280           | 241            | 640             | Petition to revive - unintentional   |          |
| 142            | 1,280           | 242            | 640             | Utility issue fee (or reissue)   |          |
| 143            | 460             | 243            | 230             | Design issue fee   |          |
| 144            | 620             | 244            | 310             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 740             | 246            | 370             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149            | 740             | 249            | 370             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179            | 740             | 279            | 370             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other Fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 525)

**SUBMITTED BY**

Name (Print/Type)

Greg ry B. C y

Registration No.  
(Attorney/Agent)

40,967

**Complete (if applicable)**

Telephone

(317) 634-3456

Signature

Date

June 25, 2002

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
SEND TO: Hon. Commissioner for Patents, Washington, DC 20231.


 8420 MP/1638  
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 ORIGINALLY FILED

WENMM/SB/21 (12/00)

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |                                |                           |
|--|--------------------------------|---------------------------|
| Application Number                       |                                | 10/006.852                |
| Filing Date                              |                                | Nov mb r 7, 2002          |
| First Named Inventor                     |                                | Alan M. Kinnersley et al. |
| Group Art Unit                           |                                | 1638                      |
| Examiner Name                            |                                |                           |
| Total Number of Pages in this Submission | Attorney Docket Number 7224-65 |                           |

## ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input checked="" type="checkbox"/> Oath and Declaration of Inventors<br><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts<br><input checked="" type="checkbox"/> Copy of Submission of Sequence Listing |
| Remarks  |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |  |  |
|-------------------------|---|--|--|
| Firm or Individual Name | Gregory B. Coy<br>Woodard, Emhardt, Naughton, Moriarty & McNett |  |  |
| Signature               |   |  |  |
| Date                    | June 25, 2002   |  |  |

## Certificate of Mailing

 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington D.C. 20231 on this date: **June 25, 2002**

|                       |                |      |               |
|-----------------------|----------------|------|---------------|
| Typed or printed name | Gregory B. Coy |      |               |
| Signature             |                | Date | June 25, 2002 |



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/006,852         | 11/07/2001          | Alan M. Kinnersley    | 7224-65                |

CONFIRMATION NO. 8960

## FORMALITIES LETTER



\*OC000000007360273\*

Gregory B. Coy  
Woodard, Emhardt, Naughton, Moriarty and McNett  
Bank One Center/Tower  
111 Monument Circle, Suite 3700  
Indianapolis, IN 46204-5137

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Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/08/2002 BABRAHA1 00000093 10006852

01 FC:205

65.00 DP

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentln S ftware, call (703) 306-2600
- For Pat ntln S ftwar Program Help, call (703) 306-4119 or e-mail at patin21help@uspt .gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE